PTO-1390 (Rev. 02-2005) Approved for use through 03/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP2003/010458 19 August 2003 28 August 2002 TITLE OF INVENTION LIGHTING APPARATUS AND LCD BACKLIGHT APPARATUS APPLICANT(S) FOR DO/EO/US Masaki SHIMIZU Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. X include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. х A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. Х is attached hereto (required only if not communicated by the International Bureau). a. has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). C. х An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. a. х has been previously submitted under 35 U.S.C. 154(d)(4). b. х Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. l An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 15. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: PCT/ISA/210; PCT/IPEA/409; PCT/IB/304; PCT/IB/308 & Eighteen (18) Sheets of Formal Drawings

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U.S. APPLICATION NO AT NEW LONG 37 CHR 15)				INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
NEW PC1/3F2003/010438						1254-0269PUS1		
The following fees have been submitted							CALCULATIONS	PTO USE ONLY
21. x Basic national fee\$300						\$	300.00	
22. x Examination fee								
If International preliminary examination report prepared by USPTO and all claims satisfy							-	
provisions of PCT Article 33(1)-(4) \$100 All other situations \$200						s	200.00	
23. x Search fee						╫	200.00	
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Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100								l
International Search Report prepared and provided to the Office \$400 All other situations \$500							500.00	
TOTAL OF 21, 22 and 23 =							1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding							1,000.00_	
sequence listing or computer program listing filed in an electronic medium). The fee is								
\$250 for each additional 50 sheets of paper or fraction thereof.  Number of each additional 50 or fraction								
Total Sheets Extra sheets		thereof (round up to a whole number						
46 - 100 = /50 =					x \$250.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months						s		
from the earliest daimed priority date (37 CFR 1.492 (e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE						╁		
Total claims 19 - 20 =			THOMBEN EXTI	×		\$	0.00	
Independent claims 3 - 3 =				T <sub>x</sub>			0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable) +						\$ \$		
TOTAL OF ABOVE CALCULATIONS =						s	1,000.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.						s		
SUBTOTAL =						s	1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months						Ť	1,000.00	
from the earliest claimed priority date (37 CFR 1.492 (f)). +						\$		
TOTAL NATIONAL FEE =						\$	1,000.00	·
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$	40.00	
TOTAL FEES ENCLOSED =						\$	1,040.00	
							nount to be	\$
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a. x A check in the amount of \$ 1,040.00 to cover the above fees is enclosed.								
A check in the amount of \$ to dover the above rees is endosed.								
b. Please charge my Deposit Account No. in the amount of \$								
to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								
overpayment to Deposit Account No. 02-2448 . A duplicate copy of this sheet is enclosed.								
d. Fees	are to be charged t	o a credit	card. WARNING:	Information of	on this form m	ay b	ecome public. Cred	lit card
infor	mation should not	be includ	ded on this form.	Provide credi	t card informa	tion	and authorization or	n PTO-2038.
						rey	iye (37 CFR 1.137 (a	) or (b))
must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
SIGNATURE: (								
Charle							Serenstein	
CUSTOMER NUMBER: 02292 NAME								
February 25, 2005 /smt								
REGISTRATION NUMBER								